

# SWIP / MULTI-MANAGER ICVC

## Application Form

### Class A/B/S Shares

GPSmap 495



Please complete (in BLOCK LETTERS) and return to your adviser or directly to:

SWIP Multi-Manager Funds Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex, CM15 8TG, or by fax on 0870 275 0013.

#### Notes

Institutional investors should provide contact details in section 1 and details of the investing body in section 2. Private investors should complete all details in section 1 and then proceed to section 3. Only complete section 2 if the shares are to be registered in a different name to the one given in section 1.

#### Section 1 Applicant Details

Title:	Surname:	Joint Holder 1 Surname:
First Names:		First Names: Date of Birth:
Date of Birth:		Joint Holder 2 Surname:
Address:		First Names: Date of Birth:
		Joint Holder 3 Surname:
	Postcode:	First Names: Date of Birth:
Your Nationality:		Designation (e.g. initials of child):
Telephone Number (Daytime):		
Fax Number (Daytime):		

#### Section 2 Registration Details

Registered Name:	Registered Address:
Designation:	Postcode:

#### Section 3 Investment Details

I wish to invest in **Class A/B/S Shares** in the following Fund(s) of the Multi-Manager ICVC.

Sub Fund	Share Class (A/B/S)	Class Type (Acc/Inc)	Investment Amount	Investment Amount
Multi-Manager Global Real Estate Securities Fund			£	\$
Multi-Manager International Equity Fund			£	\$
Multi-Manager UK Equity Focus Fund			£	\$
Multi-Manager UK Equity Growth Fund			£	\$
Multi-Manager UK Equity Income Fund			£	\$
<b>Total</b>			<b>£</b>	<b>\$</b>

Share Class A - Initial charge: 5.0% Minimum Initial Investment: £1,000 Minimum Additional Investment: £1,000

Share Class B - Initial charge: 3.75% Minimum Initial Investment per Fund: £10,000,000 Minimum Additional Investment per Fund: £10,000

Share Class S - Initial charge: 5.0% Minimum Initial Investment: \$1,500 Minimum Additional Investment: \$1,500

#### Section 4 Bank Details

If you wish to receive income, please provide your bank details below. Only complete this section if you have selected to have income distributed.

Name of Bank/Building Society:	Sort code:
Address:	Account Number:
	Account Name:

## Notes

Please make your cheque payable to SWIP Multi-Manager Funds Limited for the total amount shown in section 3. For joint applications all applicants must sign. Cheques and Banker's Drafts must be endorsed with account name information.

## Section 5 Declaration

1. In accordance with the Data Protection Act 1998, the information you have provided on this form will be used by SWIP Multi-Manager Funds Limited to set up and administer your account. We may also use it for market research, statistical purposes and the prevention and detection of fraud. In cases where it is considered necessary for the prevention and detection of fraud or other financial crime, your information may be shared with other financial companies. We will keep the information you have supplied confidential and will not share it with others without your agreement, except where the law permits, when it may be disclosed to law enforcement agencies, Ombudsman and Regulators and except in the circumstances that follow.

Your information may be shared with financial advisers or people acting on your behalf. It may also be shared with others in connection with administering your investment and services for you. In addition, it may also be shared amongst Lloyds Banking Group companies to: provide the service you applied for, to update our records and to identify other products and services which may interest you.

If you would prefer not to receive details of other products and services, please tick here . Other Lloyds Banking Group companies will not make marketing approaches to you unless you already have a relationship with them.

Under the terms of the Data Protection Act 1998 you are entitled to request a copy of information we hold relating to you. A small charge will be payable.

We may administer your investment and provide other services from centres in countries outside Europe (such as India and the USA) that do not always have the same standard of data protection laws as the UK. However, there will be a contract in place to ensure that your information is adequately protected, and we will remain bound by our obligations under the Data Protection Act even when your personal information is processed outside the European Union.

2. Under current Money Laundering regulations, there is a requirement to prove the identity of people who wish to take out an investment.

- ▶ I/We enclose two original forms of identification confirming my name and address. One of these must be a government issued document.
- ▶ As a Corporate Investor I/we enclose a copy of our articles of association or equivalent (if applicable).

### We will carry out an electronic search of information held on the electoral role and credit reference agency databases.

- ▶ I/We understand that proceeds of any encashment request may be withheld until the necessary evidence of identity has been provided.

3. SWIP Multi-Manager Funds Limited (the ACD) may be required to hold cash on your behalf to administer your account. Any such cash balances held will be deposited with Lloyds TSB Bank plc (or with such other bank as the ACD may choose from time to time). Lloyds TSB Bank plc is an approved bank. Both Lloyds TSB Bank plc and the ACD form part of the Lloyds Banking Group. Your cash will be held in accordance with the rules of the Financial Services Authority (FSA). No interest will be paid on cash balances held on your behalf.

4. I/We understand that shares will be purchased at the next price calculated following receipt of our correctly completed instructions and payment.

For the purpose of dealing in units of the fund only, all investors will be regarded as retail clients. This does not however restrict the type of share class that can be invested into nor determine whether investors will be eligible complainants or eligible claimants for the purposes of FSA complaints and compensation rules.

5. **I/We have received and read the Simplified Prospectus relating to this investment and agree to be bound by the terms and conditions therein.**

6. It is important that we verify your identity in order to keep our records up to date, please ensure you supply us with all the relevant documents to verify your identity. If we do not receive the relevant documentation, we are unable to do the following:

- ▶ Accept any further payments into your holding (regular or lump sum)
- ▶ Accept stock or ISA transfers into your holding
- ▶ Allow assets to be stock transferred away from your holding
- ▶ Release proceeds to a new ISA Plan Manager (although we will place the deal on receipt of a valid transfer instruction)
- ▶ Release the proceeds when you wish to sell some or all of your investment (although we will place the deal on receipt of a valid redemption instruction)

We enclose our cheque for £/\$

Authorised Signatory:

Name:

Signature:

Date:

Company seal if applicable:

You are entitled to receive copies of the Prospectus and the latest Report and Accounts free of charge. If you wish to be sent a copy of these documents please tick here . These are also available on our website at [www.swip.com](http://www.swip.com).

## Section 6 For Adviser Use Only

Adviser Name:

Company:

Address:

Postcode:

Adviser Signature and Company Stamp:

Date:

Initial commission taken:

Yes

No

Investment of monies may be paid to the following account:

Lloyds TSB Bank PLC

SWIP MM GBP

SWIFT code: TSBSGB21300

Sort code: 30-18-05

Account No: 00030046

IBAN: GB27TSBS30180500030046

Account name: SWIP Multi-Manager Funds Limited

SWIP MM USD

Sort code: 30-96-34

Account No: 11557300

IBAN: GB18TSBS30180511557300

SWIFT Code: TSBSGB21300

Account name: SWIP Multi-Manager Funds Limited

Write to SWIP Multi-Manager Funds Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex, CM15 8TG or telephone 0800 33 66 00.

Lloyds TSB Investments Limited. Company No. SC106723. Registered Office in the United Kingdom at Edinburgh One, Morrison Street, Edinburgh, EH3 8BE. Tel: 020 7203 3000. Lloyds TSB Investments Limited is authorised and regulated by the Financial Services Authority and is entered on their register under number 122130 ([www.fsa.gov.uk](http://www.fsa.gov.uk)).

SWIP Multi-Manager Funds Limited (SWIP MM) is registered in England and Wales, Company No. 5582499. Registered Office is at 33 Old Broad Street London EC2N 1HZ. Tel: 0131 655 8500.

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