

Sterling Share Classes Application Form

Class A Shares

Please complete (in BLOCK LETTERS) and return to your adviser or directly to:

SWIP Fund Management Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex, CM15 8TG, or by fax on 0870 275 0013.

Notes

Institutional investors should provide contact details in section 1 and details of the investing body in section 2. Private investors should complete all details in section 1 and then proceed to section 3. Only complete section 2 if the shares are to be registered in a different name to the one given in section 1. Please refer to the Prospectus for the full range of potential share classes.

Section 1 Applicant Details

Title: _____	Surname: _____	Joint Holder 1 Surname: _____	_____
First Names: _____	_____	First Names: _____	Date of Birth: _____
Date of Birth: _____	_____	Joint Holder 2 Surname: _____	_____
Address: _____	_____	First Names: _____	Date of Birth: _____
_____	_____	Joint Holder 3 Surname: _____	_____
_____	Postcode: _____	First Names: _____	Date of Birth: _____
Your Nationality: _____	_____	Designation (e.g. initials of child): _____	_____
Telephone Number (Daytime): _____	_____	_____	_____
Fax Number (Daytime): _____	_____	_____	_____

Section 2 Registration Details

Registered Name: _____	Registered Address: _____
Designation: _____	Postcode: _____

Section 3 Investment Details

I wish to invest in Class A shares in the following Fund(s) and share type(s) of the SWIP UK OEIC (Scottish Widows Investment Partnership Investment Funds UK ICVC).

Class Type (Acc/Inc)	Investment Amount	Class Type (Acc/Inc)	Investment Amount
Absolute Return Bond Fund [†]	£	Sterling Bond Plus Fund [†]	£
Absolute Return Bond Fund [†]	£	Sterling Bond Plus Fund [†]	£
Absolute Return UK Equity Fund*	£	Sterling Credit Advantage Fund [†]	£
Absolute Return Macro Fund*	£	Sterling Credit Advantage Fund [†]	£
Corporate Bond Plus Fund [†]	£	Sterling Index-Linked Bond Fund [†]	£
Corporate Bond Plus Fund [†]	£	Sterling Index-Linked Bond Fund [†]	£
Defensive Gilt Fund [†]	£	UK Advantage Fund*	£
Defensive Gilt Fund [†]	£	UK Enhanced Equity Fund*	£
Financial Fund*	£	UK Income Fund*	£
Gilt Plus Fund [†]	£	UK Income Fund*	£
Gilt Plus Fund [†]	£	UK Real Estate Fund*	£
Global Bond Plus Fund [†]	£	Total	£
Global Bond Plus Fund [†]	£		
High Yield Bond Fund [†]	£		
High Yield Bond Fund [†]	£		

*Initial charge: 5% [†]Initial charge: 3.75% Minimum initial investment per Fund: £1,000
Minimum additional investment per Fund: £1,000

Section 4 Bank Details

If you wish to receive income, please provide your bank details below. Only complete this section if you have selected to have income distributed.

Name of Bank/Building Society: _____	Sort code: _____
Address: _____	Account Number: _____
_____	Account Name: _____
_____	_____

Notes

Please make your cheque payable to SWIP Fund Management Limited for the total amount shown in section 3. Cheques and Banker's Drafts must be endorsed with account name information. For joint applications all applicants must sign.

Section 5 Declaration

1. In accordance with the Data Protection Act 1998, the information you have provided on this form will be used by SWIP Fund Management Limited to set up and administer your account. We may also use it for market research, statistical purposes and the prevention and detection of fraud. In cases where it is considered necessary for the prevention and detection of fraud or other financial crime, your information may be shared with other financial companies. We will keep the information you have supplied confidential and will not share it with others without your agreement, except where the law permits, when it may be disclosed to law enforcement agencies, Ombudsman and Regulators and except in the circumstances that follow.

Your information may be shared with financial advisers or people acting on your behalf. It may also be shared with others in connection with administering your investment and services for you. In addition it may also be shared amongst Lloyds Banking Group companies to: provide the service you applied for, to update our records and to identify other products and services which may interest you.

If you would prefer not to receive details of other products and services, please tick here . Other Lloyds Banking Group companies will not make marketing approaches to you unless you already have a relationship with them.

Under the terms of the Data Protection Act 1998 you are entitled to request a copy of information we hold relating to you. A small charge will be payable.

We may administer your investment and provide other services from centres in countries outside Europe (such as India and the USA) that do not always have the same standard of data protection laws as the UK. However, there will be a contract in place to ensure that your information is adequately protected, and we will remain bound by our obligations under the Data Protection Act even when your personal information is processed outside the European Union.

2. Under current Money Laundering regulations, there is a requirement to prove the identity of people who wish to take out an investment.

I/We enclose two original forms of identification confirming my name and address. One of these must be a government issued document.

As a Corporate Investor I/we enclose a copy of our articles of association or equivalent (if applicable).

We will carry out an electronic search of information held on the electoral role and credit reference agency databases.

I/We understand that proceeds of any encashment request may be withheld until the necessary evidence of identity has been provided.

3. SWIP Fund Management Limited (the ACD) may be required to hold cash on your behalf to administer your account. Any such cash balances held will be deposited with Lloyds TSB Bank plc (or with such other bank as the ACD may choose from time to time). Lloyds TSB Bank plc is an approved bank. Both Lloyds TSB Bank plc and the ACD form part of the Lloyds Banking Group. Your cash will be held in accordance with the rules of the Financial Services Authority (FSA). No interest will be paid on cash balances held on your behalf.

4. I/We understand that shares will be purchased at the next price calculated following receipt of our correctly completed instructions and payment.

For the purpose of dealing in units of the fund only, all investors will be regarded as retail clients. This does not however restrict the type of share class that can be invested into nor determine whether investors will be eligible complainants or eligible claimants for the purposes of FSA complaints and compensation rules.

5. **I/We have received and read the Simplified Prospectus relating to this investment and agree to be bound by the terms and conditions therein.**

6. It is important that we verify your identity in order to keep our records up to date, please ensure you supply us with all the relevant documents to verify your identity. If we do not receive the relevant documentation, we are unable to do the following:

- Accept any further payments into your holding (regular or lump sum)
- Accept stock or ISA transfers into your holding
- Allow assets to be stock transferred away from your holding
- Release proceeds to a new ISA Plan Manager (although we will place the deal on receipt of a valid transfer instruction)
- Release the proceeds when you wish to sell some or all of your investment (although we will place the deal on receipt of a valid redemption instruction)

We enclose our cheque for £

Signed for and on behalf of the investor:

Joint Holder 1

Signature:

Date:

Joint Holder 2

Signature:

Date:

Joint Holder 3

Signature:

Date:

Company seal if applicable:

You are entitled to receive copies of the Prospectus and the latest Report and Accounts free of charge. If you wish to be sent a copy of these documents please tick here . These are also available on our website at www.swip.com.

Section 6 For Adviser Use Only

Adviser Name:

Company:

Address:

Postcode:

Adviser Signature and Company Stamp:

Date:

Initial commission taken:

Yes

No

Investment of monies may be paid to the following account:

Lloyds TSB Bank PLC
SWIFT code: LOYD GB 2L CTY
Sort code: 30-00-02
Account No: 01023522
Account name: SWIP Fund Management Limited

Write to SWIP Fund Management Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex, CM15 8TG or telephone 0800 33 66 00.

SWIP Fund Management Limited. Registered in England and Wales No. 406604. Registered Office in the United Kingdom at 33 Old Broad Street, London EC2N 1HZ. Tel: 020 7203 3000. SWIP Fund Management Limited is a unit trust, OEIC and ISA Manager. SWIP Fund Management Limited is authorised and regulated by the Financial Services Authority and is entered on their register under number 122135 (www.fsa.gov.uk).

Scottish Widows Investment Partnership Limited (SWIP) is registered in England and Wales, Company No. 794936. Registered Office is at 33 Old Broad Street, London EC2N 1HZ. Tel: 0131 655 8500. SWIP is authorised and regulated by the Financial Services Authority and is entered on their register under number 193707 (www.fsa.gov.uk).